

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- ☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
- ☒ before the mailing date of a first Office Action on the merits; or
- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

U.S. Patent Application Serial No. 09/653,608 (5181-70300)
U.S. Patent Application Serial No. 09/653,614 (5181-67400)
U.S. Patent Application Serial No. 09/698,791 (5181-65000)
U.S. Patent Application Serial No. 09/653,525 (5181-64700)
U.S. Patent Application Serial No. 09/653,612 (5181-64000)
U.S. Patent Application Serial No. 09/660,548 (5181-67000)
U.S. Patent Application Serial No. 09/660,563 (5181-64900)
U.S. Patent Application Serial No. 09/653,610 (5181-70500)
U.S. Patent Application Serial No. 09/653,451 (5181-70200)
U.S. Patent Application Serial No. 09/660,553 (5181-65400)
U.S. Patent Application Serial No. 09/653,241 (5181-67100)

4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:

6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-704400/RCK.

Respectfully submitted,



Robert C. Kowert
Reg. No. 39,255
Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C.
P. O. Box 398
Austin, Texas 78767
(512) 476-1400

Date: 10-29-02

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-70400/RCK.

Respectfully submitted,

Robert C. Kowert
Reg. No. 39,255
Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C.
P. O. Box 398
Austin, Texas 78767
(512) 476-1400

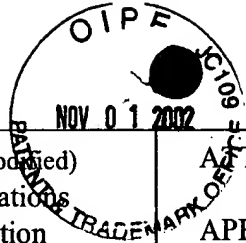
Date: _____

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-70400 APPLICANT: Slaughter et al FILING DATE: August 31, 2000			SERIAL NO. 09/653,215 GROUP: 2152		
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D1	6,324,566	11/27/01	Himmel, et al.				
	D2	6,332,062	12/18/01	Phillips, et al.				
	D3	6,405,175	6/11/02	Ng				
	D4	5,649,186	7/15/97	Ferguson				
FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D5	892 530	1/20/99	EP				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	D6	Morgenthaler, et al., U.S. Publication No. 2002/0032677, Published March 14, 2002, entitled "Methods for Creating, Editing, and Updating Searchable Graphical Database and Databases of Graphical Images and Information and Displaying Graphical Images From a Searchable Graphical Database or Databases in a Sequential or Slide Show Format".						
	D7	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".						
	D8	Do Rosario Botelho, et al., U.S. Publication No. 2002/0069105, Published June 6, 2002, entitled "Data Processing System for Targeted Content".						
	D9	Addante, U.S. Publication No. 2002/0004733, Published January 10, 2002, entitled "Method and Apparatus for Transaction Tracking Over a Computer Network".						
	D10	Ullman, et al., U.S. Publication No. 2002/0038344, Published March 28, 2002, entitled "Enhanced Video Programming System and Method for Incorporating and Displaying Retrieved Integrated Internet Information Segments".						
	D11	Uppaluru, U.S. Publication No. 2002/0080927, Published June 27, 2002, entitled "System and Method for Providing and Using Universally Accessible Voice and Speech Data Files".						
	D12	XP-002211482, "Federating and Administering Lookup Services", pp. 320-329, 405-419, 635-656, June 1999.						
	D13	XP-002212134, "The Search API's", pp. 297-305, 320-329 June 1999.						
	D14	"Network Nirvana and the Intelligent Device", Spotlight, XP-002927392, pp. 16-19, April 1999.						
	D15	"Behavioral Specification Using XML", Mckee and Marshall, IEEE, pp. 53-59, 1999.						
	D16	"JavaSpaces", Chapter 15, XP-002212109, pp. 636-657, June 1999.						

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

APP. DKT. NO. 5181-70400
APPLICANT: Slaughter et al
FILING DATE: August 31, 2000

SERIAL NO. 09/653,215
GROUP: 2152

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	D17	"T Spaces", Wycoff, et al., 8M Systems Journal, pp. 454-474, 1998
	D18	"Management of Advanced Services in H.323 Internet Protocol Telephony", Pagurek, et al. IEEE pp. 91-100. March 26, 2000.
	D19	"Service Location Protocol: Automatic Discovery of IP Network Services", Erik Guttman of Sun Microsystems, IEEE Internet Computing, pp. 71-80, July 1999.
	D20	International Search Report for PCT/US 01/15363, mailed September 25, 2002.

RECEIVED

NOV 01 2002

Technology Center 2100

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-70400 APPLICANT: Slaughter et al FILING DATE: August 31, 2000			SERIAL NO. 09/653,215 GROUP: 2152		
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D1	6,324,566	11/27/01	Himmel, et al.				
	D2	6,332,062	12/18/01	Phillips, et al.				
	D3	6,405,175	6/11/02	Ng				
	D4	5,649,186	7/15/97	Ferguson				
FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D5	892 530	1/20/99	EP				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	D6	Morgenthaler, et al., U.S. Publication No. 2002/0032677, Published March 14, 2002, entitled "Methods for Creating, Editing, and Updating Searchable Graphical Database and Databases of Graphical Images and Information and Displaying Graphical Images From a Searchable Graphical Database or Databases in a Sequential or Slide Show Format".						
	D7	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".						
	D8	Do Rosario Botelho, et al., U.S. Publication No. 2002/0069105, Published June 6, 2002, entitled "Data Processing System for Targeted Content".						
	D9	Addante, U.S. Publication No. 2002/0004733, Published January 10, 2002, entitled "Method and Apparatus for Transaction Tracking Over a Computer Network".						
	D10	Ullman, et al., U.S. Publication No. 2002/0038344, Published March 28, 2002, entitled "Enhanced Video Programming System and Method for Incorporating and Displaying Retrieved Integrated Internet Information Segments".						
	D11	Uppaluru, U.S. Publication No. 2002/0080927, Published June 27, 2002, entitled "System and Method for Providing and Using Universally Accessible Voice and Speech Data Files".						
	D12	XP-002211482, "Federating and Administering Lookup Services", pp. 320-329, 405-419, 635-656, June 1999.						
	D13	XP-002212134, "The Search API's", pp. 297-305, 320-329 June 1999.						
	D14	"Network Nirvana and the Intelligent Device", Spotlight, XP-002927392, pp. 16-19, April 1999.						
	D15	"Behavioral Specification Using XML", Mckee and Marshall, IEEE, pp. 53-59, 1999.						
	D16	"JavaSpaces", Chapter 15, XP-002212109, pp. 636-657, June 1999.						

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.